

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

215648US0PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/926465

INTERNATIONAL APPLICATION NO.  
PCT/JP00/02749INTERNATIONAL FILING DATE  
27 April 2000PRIORITY DATE CLAIMED  
7 May 1999

TITLE OF INVENTION

HOT PLATE AND PROCESS FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/EO/US

FURUKAWA Masakazu et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Request for Consideration of Documents Cited in International Search Report/Request for Priority  
Amended Sheets (Page 20)/Drawings (4 Sheets)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/926465</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP00/02749</b>	ATTORNEY'S DOCKET NUMBER <b>215648US0PCT</b>
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24. The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b>					
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	<b>\$1040.00</b>			
<input checked="" type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	<b>\$890.00</b>			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	<b>\$740.00</b>			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	<b>\$710.00</b>			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	<b>\$100.00</b>			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			<b>\$890.00</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				<b>\$130.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	4 - 3 =	1	x \$84.00	<b>\$84.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,104.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,104.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,104.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,104.00</b>	
				Amount to be: refunded	\$
				charged	\$

- a. ☒ A check in the amount of **\$1,104.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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REGISTRATION NUMBER

**Nov. 7 2001**

DATE

09926465 09/926465

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JC17 Rec'd PCT/PTO 07 NOV 2001

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
MASAKAZU FURUKAWA ET AL : ATTN: APPLICATION DIVISION  
SERIAL NO: NEW U.S. PCT APPLN  
(Based on PCT/JP00/02749) :  
FILED: HEREWITH :  
FOR: HOT PLATE AND PROCESS FOR :  
PRODUCING THE SAME

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as shown on the marked-up copy following this amendment to read as follows:

3. (Amended) The hot plate according to claim 1, wherein the thickness of said resistance element is from 0.5 to 500  $\mu\text{m}$ .
5. (Amended) The hot plate according to claim 1, wherein said insulating substrate is at least one kind selected from a nitride ceramic, a carbide ceramic and a resin.
6. (Amended) The hot plate according to claim 1, wherein said resistance element is made of scaly noble metal powder.

7. (Amended) The hot plate according to claim 1, wherein said resistance element has a multilayer structure, and among a plurality of layers constituting said resistance element, the layer nearest to the substrate is made of titanium or chromium.

8. (Amended) The hot plate according to claim 1, wherein said resistance element is composed of a first layer made of titanium; a second layer made of molybdenum and having a larger thickness than said first layer, on said first layer; and a third layer made of nickel and having an intermediate thickness between the thickness of said first layer and that of said second layer, on said second layer.

9. (Amended) The hot plate according to claim 1, wherein said resistance element is composed of a titanium layer having a thickness of 0.1 to 0.5  $\mu\text{m}$ , a molybdenum layer having a thickness of 0.5 to 7.0  $\mu\text{m}$ , on said titanium layer, and a nickel layer having a thickness of 0.4 to 2.5  $\mu\text{m}$ , on said molybdenum layer.

13. (Amended) The hot plate according to claim 1, wherein said resistance element is formed on the lower face of the insulating substrate.

Please add the following new claims:

14. (New) A process for producing a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate,

comprising forming said resistance element by a film-depositing method based on a dry process.

15. (New) A process for producing a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate,

comprising forming said resistance element by RF sputtering.

16. (New) A process for producing a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate,

comprising printing a resistance element paste made of scaly noble metal powder and firing the paste.

REMARKS

Claims 1-9 and 13-16 are active in the present application. Claims 10-12 have been cancelled. Claims 3-9 and 13 have been amended to remove multiple dependencies. Claims 14-16 are new claims. Support for the new claims is found in the specification on page 5, lines 27-35 and page 11, lines 24 through page 13, line 1. No new matter is believed to have been added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

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<b>Marked-Up Copy</b>
Serial No: _____
Amendment Filed on: _____
11-7-01

IN THE CLAIMS

Please amend the claims as follows:

- 3. (Amended) The hot plate according to claim 1 [or 2], wherein the thickness of said resistance element is from 0.5 to 500  $\mu\text{m}$ .
5. (Amended) The hot plate according to [any of claims 1 to 4] claim 1, wherein said insulating substrate is at least one kind selected from a nitride ceramic, a carbide ceramic and a resin.
6. (Amended) The hot plate according to [any of claims 1 to 5] claim 1, wherein said resistance element is made of scaly noble metal powder.
7. (Amended) The hot plate according to [any of claims 1 to 6, characterized in that] claim 1, wherein said resistance element has a multilayer structure, and among a plurality of layers constituting said resistance element, the layer nearest to the substrate is made of titanium or chromium.
8. (Amended) The hot plate according to [any of claims 1 to 7, characterized in that] claim 1, wherein said resistance element is composed of a first layer made of titanium; a second layer made of molybdenum and having a larger thickness than said first layer, on said first layer; and a third layer made of nickel and having an intermediate thickness between the thickness of said first layer and that of said second layer, on said second layer.

9. (Amended) The hot plate according to [any of claims 1 to 8, characterized in that] claim 1, wherein said resistance element is composed of a titanium layer having a thickness of 0.1 to 0.5  $\mu\text{m}$ , a molybdenum layer having a thickness of 0.5 to 7.0  $\mu\text{m}$ , on said titanium layer, and a nickel layer having a thickness of 0.4 to 2.5  $\mu\text{m}$ , on said molybdenum layer.

13. (Amended) The hot plate according to [any of claims 1 to 9, characterized in that] claim 1, wherein said resistance element is formed on the lower face of the insulating substrate.--

Claims 14-16 (New).

4 / PRTS

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### Specification

#### HOT PLATE AND PROCESS FOR PRODUCING THE SAME

#### Field of the invention

- 5           The present invention relates to a hot plate and a process for producing the same.

#### Background art

- 10           In the case that, for example, a silicon wafer subjected to a photosensitive resin applying step is heated and dried in a process for producing a semiconductor, a heating device called a hot plate is usually used. Conventionally, such a hot plate has a structure a resistance element is arranged on the lower face side of a ceramic substrate. Such a resistance element  
15           is formed using, for example, silver paste. Specifically, in the state that a mask for screen printing is set up, silver paste is printed on a substrate through openings in the mask by moving a squeegee in a given direction. After the mask is taken off, the printed paste layer is heated so that a resistance element  
20           having a given pattern is baked on the substrate (for example, Japanese Kokai Publication Hei 11-40330 (1999) and so on).

- When a hot plate is used, a silicon wafer, which is an object to be heated, is put on the upper surface of the hot plate. By sending an electric current to its resistance element in this  
25           state, the resistance element generates heat to heat the whole of the silicon wafer.

#### Summary of the invention

- 30           Incidentally, for a hot plate, the performance of heating a silicon wafer uniformly is required in order to reduce dispersion in temperature inside the silicon wafer to a minimum. In spite of this, the above mentioned conventional hot plate does not have the performance of generating uniform heat that satisfies a level required in recent years. In the present  
35           situation, even a cause that the performance of generating



uniform heat deteriorates is not necessarily clear. Thus, in the case that the diameter of silicon wafers becomes still larger hereafter, silicon wafers having a high quality cannot be produced even if the above mentioned hot plate is used.

5        Thus, the inventors made eager investigation for clearing up the cause that the performance of generating uniform heat deteriorates. As a result, the following unexpected finding has been obtained.

10        Namely, in a screen printing step of silver paste, in the state that a mask is set up, a squeegee is moved to print the silver paste, and subsequently the mask is taken off from the substrate. At this time of taking off, the silver paste adheres to the mask. Thus, if the mask is taken off in the state that the drying of the paste is unfinished, a portion of the silver  
15        paste adheres to the mask. For this reason, the surface of the printed paste layer becomes rough so that dispersion in the thickness of the resistance element gets large. As a result, the dispersion in the value of resistivity inside the resistance element increases so that dispersion in the calorific value  
20        inside the resistance element increases. It has been found out that this fact is a main cause that the performance of generating uniform heat deteriorates.

25        Graphs of FIGs. 5(a) and 5(b) show data obtained when a resistance element was measured with a stylus type surface roughness meter in order to demonstrate the state that distribution of the thickness of the resistance element is generated. It can be understood from FIGs. 5(a) and (b) that the thickness dispersion is more remarkably generated in the portion perpendicular to the printing direction (that is, the  
30        direction along which the squeegee moves) than in the portion parallel to this direction.

35        Thus, the inventors have considered that if the dispersion in the thickness of the resistance element is made small, the performance of generating uniform heat can be improved. As a result, the inventors have got the idea on the

present invention as described below. That is, an object of the present invention is to provide a hot plate making it possible to heat an object to be heated uniformly, and a process for producing the hot plate.

5 In order to solve the above mentioned problems, a subject matter of a first aspect of the present invention is a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less and preferably  $\pm 1 \mu\text{m}$  or less is formed on an insulating substrate.

10 In the present specification, the thickness dispersion is defined as follows. When the surface of the insulating substrate is set to a zero point and a surface roughness curve of the surface of the resistance element is drawn with a stylus type surface roughness meter, this curve represents the  
15 thickness. Thus, along this curve, 10 points are arbitrarily selected. Average thickness  $T_{av}$  can be obtained by averaging them. The maximum thickness at the measured points is defined as  $T_{max}$ , and the minimum thickness at the measured points is defined as  $T_{min}$ . The larger value between the absolute value  
20 of  $T_{max} - T_{av}$  and that of  $T_{min} - T_{av}$  is defined as a dispersion. In the case that the absolute value of  $T_{min} - T_{av}$  is larger one, the symbol "~" is attached to the value of a dispersion. The  $T_{min} - T_{av}$  does not exceed the average thickness  $T_{av}$ .

In the first aspect of the present invention, the  
25 thickness of the resistance element is desirably from 0.5 to 500  $\mu\text{m}$ , and more desirably from 1 to 10  $\mu\text{m}$ . The surface roughness  $R_a$  of the insulating substrate surface on which a resistance element is formed is desirably 2.0  $\mu\text{m}$  or less and more desirably 1  $\mu\text{m}$  or less. The above mentioned insulating  
30 substrate is desirably a nitride ceramic substrate or a carbide ceramic substrate.

In the first aspect of the present invention, the resistance element is desirably made of scaly noble metal powder. It is also desirable that the resistance element has a  
35 multilayer structure and the layer nearest to the substrate

among a plurality of the layers constituting the resistance element is made of titanium or chromium.

In the first aspect of the present invention, the resistance element is desirably composed of a first layer made of titanium, a second layer made of molybdenum and having a larger thickness than the first layer, on the first layer, and a third layer made of nickel and having an intermediate thickness between the thickness of the first layer and that of the second layer, on the second layer. The resistance element is preferably composed of a titanium layer having a thickness of 0.1 to 0.5  $\mu\text{m}$ , a molybdenum layer having a thickness of 0.5 to 7.0  $\mu\text{m}$ , on the titanium layer, and a nickel layer having a thickness of 0.4 to 2.5  $\mu\text{m}$  on the molybdenum layer.

A second aspect of the present invention is a process for producing a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate, characterized by forming a resistance element by a film-depositing method based on a dry process.

In the second aspect of the present invention, the resistance element is desirably formed by RF sputtering.

The subject matter of a third present invention is a process for producing a hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate, which is characterized by printing a resistance element paste made of scaly noble metal powder and firing the paste.

The "effect" of the present invention will be described hereinafter.

According to the first aspect of the present invention, the thickness dispersion of the resistance element formed on the insulating substrate is  $\pm 3 \mu\text{m}$  or less, and is smaller than that of conventional hot plates. For this reason, the dispersion in the value of resistivity inside the resistance element becomes small. As a result, the dispersion in the calorific value inside the resistance element becomes small,

so that an object to be heated can be uniformly heated. The inventors have obtained the finding from tests that by setting the surface roughness Ra of the ceramic substrate surface on which a resistance element is formed to 2.0  $\mu\text{m}$  or less and  
5 desirably 1.0  $\mu\text{m}$  or less, the thickness dispersion of the resistance element can be further reduced.

According to the second aspect of the present invention, in the case of the film-depositing method based on a dry process, the thickness dispersion of the formed resistance element  
10 becomes small. The resistance element becomes denser than resistance elements obtained by any film-depositing method based on a wet process, such as plating. Accordingly, the dispersion in the value of resistivity inside the resistance element becomes small. As a result, the dispersion in the  
15 calorific value inside the resistance element becomes small. In short, when the production process of the present invention is carried out, a hot plate having the above mentioned superior properties can be easily and surely produced.

If RF sputtering, which is one of physical film-depositing methods, is adopted in this case, the resistance  
20 element is made still denser so that inner defects are not easily generated in the resistance element. As a result, the dispersion in the value of resistivity becomes very small. Adhesiveness of the resistance element to the substrate also  
25 becomes very high so that a resistance element that is not easily exfoliated can be obtained.

According to the third aspect of the present invention, even if a film-depositing method based on a wet process is adopted, a resistance element having a small thickness  
30 dispersion can be obtained by printing a resistance element paste made of scaly noble metal powder and firing the paste. The scaly noble powder is oriented when the paste is printed; therefore, the powder does not easily adhere to the mask so that the dispersion in the thickness of the resistance element  
35 becomes small.

### Brief description of the drawings

FIG. 1 is a general cross section view that schematically shows a hot plate unit according to an embodiment of the present invention.

FIG. 2 is a general bottom plain view of the hot plate according to the embodiment.

FIG. 3 is an enlarged cross section view of a main portion of the hot plate according to the embodiment.

FIGS. 4(a) to (c) are graphs showing measurement results of the Ra values of hot plates of respective examples.

FIGS. 5(a) and (b) are graphs showing measurement results of the Ra values of conventional hot plates.

### Explanation on symbols

- 3 hot plate
- 3b lower face of the surface on which resistance element is formed
- 9 insulating substrate (aluminum nitride substrate)
- 10 resistance element
- 15 first layer (titanium layer)
- 16 second layer (molybdenum layer)
- 17 third layer (nickel layer)

### Detailed disclosure of the invention

Referring to FIGS. 1 to 4, embodiments of the hot plate unit of the present invention will be specifically described hereinafter.

FIG. 1 is a cross section view that schematically shows an embodiment of the hot plate unit of the present invention.

This hot plate unit 1 has a casing 2 and a hot plate 3 as main constituents.

The casing 2 is a member made of a metal and having a bottom. The casing 2 has at its upper side an opening 4 having a circular section. The hot plate 3 is fitted into this opening 4 through

35 As shown in FIG. 2, a resistance element 10 having a

thickness distribution of  $\pm 3 \mu\text{m}$  or less is made into a given pattern on the surface on which a resistance element is formed (that is, a lower face) 3b of the insulating substrate 9 made of aluminum nitride. In the case of this insulating substrate 9, the resistance element 10 meanders on the whole of the lower face 3b. The width of the pattern of the resistance element 10 is uniform and the value thereof is set to  $500 \mu\text{m}$ . Circular pads 10a for connecting a pin are made at both ends of the resistance element 10 and terminals pins 12 are connected thereto.

The surface roughness Ra of the lower face of the aluminum nitride substrate 9 is desirably  $2.0 \mu\text{m}$  or less, more desirably  $1.0 \mu\text{m}$  or less, still more desirably  $0.5 \mu\text{m}$  or less, and most desirably  $0.1 \mu\text{m}$  or less. This is because the inventors have obtained the finding from tests that the thickness dispersion of the resistance element 10 can be reduced by making the surface roughness Ra of the lower face 3b small. On the other hand, it is presumed that if the surface roughness Ra of the lower face 3b is too large, unevenness at the lower face 3b side of the insulating substrate 9 made of aluminum nitride influences the resistance element 10 so that unevenness is easily appeared in the upper face of the resistance element 10.

As shown in FIG. 3, the resistance element 10 according to the present invention has a multilayer structure (specifically, a 3-layer structure). Respective layers 15, 16 and 17 are thin metal layers made by sputtering, which is one of physical film-depositing methods. All of these thin metal layers are desirably made of a conductive metal.

The first layer 15 is formed to adhere closely to the lower face 3b of the aluminum nitride substrate 9. The second layer 16 is formed on the first layer 15 and the third layer 17 is formed on the second layer 16. In other words, the first layer 15 is a lowermost layer, the third layer 17 is an uppermost layer, and the second layer 16 is a layer positioned in the middle of the two layers 15 and 17.

In the case of the present embodiment wherein the aluminum nitride substrate 9 is selected, the first layer 15 positioned nearer to the insulating substrate 9, among the three layers 15, 16 and 17 constituting the resistance element 10, is  
5 desirably made of titanium or chromium. Furthermore, the first layer 15 is more preferably made of titanium having a thickness of 0.1 to 0.5  $\mu\text{m}$ . This is because titanium has superior adhesiveness onto a nitride ceramic such as aluminum nitride and makes it possible to improve the adhesive strength as the  
10 whole of the resistance element 10. If the titanium layer (Ti layer) which is the first layer 15 is too thin, it is feared that the adhesiveness cannot be sufficiently improved. On the other hand, if the first layer (Ti layer) 15 is too thick, the adhesiveness is suitably improved but it is feared that  
15 productivity drops or costs rise.

The metal material making the third layer 17 is desirably nickel. The reasons why nickel is selected are as follows. First, by coating with nickel, the surface of the resistance element 10 is prevented from being oxidized so that the  
20 dispersion in the value of resistivity can be still more reduced. Second, solder adheres easily to nickel; therefore, nickel is suitable for the case in which the nickel will be connected to pins afterward.

In the above mentioned case, the thickness of the nickel layer (Ni layer), which is the third layer, is desirable an  
25 intermediate thickness between that of the first layer 15 and that of the second layer 16. Specifically, the third layer is desirably formed to have a thickness within the range of 0.4 to 2.5  $\mu\text{m}$ . If the nickel layer 17 is too thin, it is feared that the above mentioned surface oxidization preventing effect is insufficient. On the other hand, if the nickel layer 17 is  
30 too thick, sufficient surface oxidization preventing effect can be obtained but it is feared that productivity drops or costs rise.

35 As the metal material making the second layer 16,



molybdenum is desirably selected. The reasons why molybdenum is selected are as follows. First, the adhesiveness between titanium and nickel is improved by interposition of molybdenum. Second, combination of nickel and molybdenum makes it possible  
5 to maintain the thickness of the resistance element 10 more easily than only use of nickel. Third, the specific resistance and sputtering rate of molybdenum are substantially equivalent to those of nickel.

In the above mentioned case, it is desirable that the  
10 molybdenum layer (Mo layer), which is the second layer, is formed to have a larger thickness than the first layer 15. Specifically, the molybdenum layer is desirably formed to have a thickness within the range of 0.5 to 7.0  $\mu\text{m}$ . If the molybdenum layer 16 is too thin, it is feared that the improvement in  
15 adhesiveness between the first layer 15 and the third layer 17 and the maintenance of the thickness of the resistance element 10 cannot be sufficiently attained. On the other hand, if the molybdenum layer 16 is too thick, it is feared that productivity drops or costs rise.

20 The resistance element paste made of scaly noble metal powder is preferably made of one or more noble metal powders, an oxide and an organic vehicle.

The noble metal is preferably one or more kind(s) selected from gold, silver, platinum and palladium. The oxide is  
25 preferably at least one or more kind(s) selected from lead oxide, zinc oxide, silica, boron oxide and alumina. As the organic vehicle, cellulose acetate and the like can be used.

The resistance element paste made of scaly noble metal powder is oriented when it is printed. Therefore, the paste  
30 does not adhere easily to the mask.

The total thickness of the resistance element 10 is preferably from 1 to 500  $\mu\text{m}$ . More preferably from 1 to 10  $\mu\text{m}$ , still more preferably from 1 to 5  $\mu\text{m}$  and most preferably from 2 to 4  $\mu\text{m}$ . The reason of this is explained as follows. For  
35 instance, if the resistance element 10 is made thicker than is

needed in the case of a physical film-depositing method, a total film-depositing period becomes long. As a result, it is feared that productivity drops or costs rise. On the other hand, if the resistance element 10 is too thin, the degree of the dispersion in the value of resistivity resulting from the dispersion in the thickness increases so that the performance of generating uniform heat cannot be sufficiently improved.

The resistance element having a thickness of 10  $\mu\text{m}$  or less can be formed by printing a resistance paste or RF sputtering. In the case that the thickness is over 10  $\mu\text{m}$ , a method of laminating a metal foil and the like can also be adopted. The metal foil has a small thickness dispersion and is profitable for the present invention.

As shown in FIGs. 1 to 3, base portions of the terminal pins 12 made of a conductive material are connected to pads 10a at two ends of the resistance element 10 with solder. Electrical conduction is attained between each of the terminal pins 12 and the resistance element 10. A socket 6a having a lead wire 6 is fitted onto the tip of each of the terminal pins 12. Therefore, when an electric current is supplied to the resistance element 10 through the lead wire 6 and the pin 12, the resistance element 10 generates heat so that the whole of the hot plate 3 is heated to about 150 to 200  $^{\circ}\text{C}$ .

The following will briefly describe an example of the process for producing the hot plate 3.

If necessary, a sintering aid such as yttrium, a binder and so on are added to aluminum nitride powder to prepare a mixture. The mixture is homogeneously kneaded with a three-roll and the like to prepare a pasty kneaded product. This kneaded product is used as a raw material to produce a disc-like molded green product having a thickness of about 1 to 25 mm by press-molding.

The produced green molded product is subjected to punching or drilling to form holes. In this way, non-illustrated pin-inserting holes are formed. Next, the green

molded product subjected to the hole-making step is dried, and subjected to pre-sintering and real sintering to sinter the product completely. In this way, the insulating substrate 9 made of aluminum nitride is produced. The sintering step is  
5 desirably carried out with a hot press machine, and the temperature in this step is desirably set to about 1500 to 2000 °C.

Thereafter, the insulating substrate 9 made of aluminum nitride is cut out into a circular form having a given diameter  
10 (230 mm $\phi$  in the present embodiment), and this is subjected to surface polishing processing, using a buffing machine and the like. At this time, a diamond grindstone is used as a grindstone to polish the substrate in the manner that the surface roughness Ra of the lower face 3b of the insulating substrate 9 is made  
15 to 1.0  $\mu$ m or less. Namely, at this time, the lower face 3b is made to a mirror plane.

Subsequently, the whole of the lower face 3b, made to the mirror plane, of the insulating substrate 9 made of aluminum nitride is subjected to a film-depositing step based on a dry  
20 process. In the present embodiment, RF sputtering is adopted as one of film-depositing steps based on a dry process. More specifically, a device having both a high-frequency power source and a DC power source (a FR-DC coupled type bias sputtering device) is used to perform sputtering. At this time,  
25 titanium, molybdenum and nickel are sputtered in this order to laminate and form thin metal layers, which are three layers, on the lower face 3b of the substrate. Next, a resist having a given pattern is formed on the thin metal layers. With the resist on, etching is performed to form the resistance element  
30 10 having a given shape and having a thickness dispersion of  $\pm 3$   $\mu$ m or less.

Thereafter, the terminals pins 12 are bonded to the respective pads 10a through solder S1. After the hot plate 3 is completed in this way, this is fitted into the opening 4 in  
35 the casing 2 so as to complete the desired hot plate unit 1 shown

in FIG. 1.

The present invention will be more specifically described hereinafter.

5                   Best mode for carrying out the invention  
(Production of samples)

Here, according to the above mentioned process, four types of samples for tests, comprising the insulating substrate 9 made of aluminum nitride, were produced. In samples 1, 2 and 10 3 (Examples 1, 2 and 3), when the resistance element 10 having a three-layer structure and having a pattern width of 500  $\mu\text{m}$  was formed by RF sputtering, the thickness of the whole thereof was set up to 3  $\mu\text{m}$ . The thickness of a titanium layer, which was the first layer 15, that of a molybdenum layer, which was the second layer 16, and that of a nickel layer, which was the third layer 17, were set up to 0.2  $\mu\text{m}$ , 2.0  $\mu\text{m}$ , and 0.8  $\mu\text{m}$ , respectively. In the surface polishing step before a film-depositing step, polishing was performed in the manner that the surface roughness Ra of the lower faces 3b of the substrates 9 (the value measured with a stylus type surface roughness meter (E-RCS01A made by Tokyo Seimitsu Co., Ltd.)) would be made to 20 0.3  $\mu\text{m}$ , 0.1  $\mu\text{m}$  and 0.03  $\mu\text{m}$  in the samples 1, 2 and 3, respectively.

Furthermore, to 100 parts by weight of scaly silver powder (Ag-520, made by Showei Kogyo Co.), 7.5 parts by weight of metal 25 oxides made of lead oxide, zinc oxide, silica, boron oxide and alumina (ratios by weight were 5/55/10/25/5) was added, to prepare a paste. This was printed on an aluminum substrate and sintered at 780 °C to obtain a hot plate as a sample 4 (Example 4).

30                   On the other hand, in a sample 5 (Comparative Example 1), the resistance element 10 having a thickness of 6  $\mu\text{m}$  was printed and formed on the lower face 3b of the insulating substrate 9 made of aluminum nitride, using a commonly used silver paste (Solvest PS603D, made by Tokuriki Kagaku Kenkyu-zyo). Its 35 pattern form and pattern width were set in the same way as in

Examples 1 to 3. In the surface polishing step before a film-depositing step, polishing was performed in the manner that the surface roughness Ra of the lower faces 3b of the substrates 9 would be made to about 3.0  $\mu\text{m}$ .

According to a method known in the prior art, the stylus type surface roughness meter was used to measure the values of the surface roughness Ra of the resistance elements 10 in the 5 samples. Graphs of FIGS. 4(a), 4(b) and 4(c) show measured data on the samples 1, 2 and 3, respectively. The above mentioned graphs of FIGS. 5(a) and 5(b) show measured data on the sample 5. As a result, the measured values of the samples 1 to 3 were evidently smaller than the measured value of the sample 5. Namely, the thickness dispersions of the resistance elements 10 of the samples 1 to 3 were  $\pm 1 \mu\text{m}$  or less, and were  $+0.7 \mu\text{m}$ ,  $+0.5 \mu\text{m}$ , and  $-0.3 \mu\text{m}$ , respectively. It was demonstrated that these thickness dispersions were far smaller than the thickness dispersion ( $+3.1 \mu\text{m}$ ) in the resistance element 10 of the sample 5. The thickness dispersion of the sample 4 was  $+2.0 \mu\text{m}$ . It was also demonstrated that as the surface roughness Ra of the lower face 3b of the substrate 9 was smaller, the thickness dispersion of the resistance element 10 was smaller. The values of Ra of the samples 1 to 5 were  $0.5 \mu\text{m}$ ,  $0.1 \mu\text{m}$ ,  $0.03 \mu\text{m}$ ,  $0.5 \mu\text{m}$  and  $2.1 \mu\text{m}$ , respectively.

The respective samples 1 to 5 were perpendicularly cut along the thickness direction of their substrates, and the cut surfaces of the resistance elements 10 were observed with an optical microscope. As a result, the resistance elements 10 of the samples 1 to 3 had a dense structure which hardly had defects therein. On the other hand, in the samples 4 and 5 defects were partly generated in the resistance element 10. The samples 4 and 5 were poorer than the samples 1 to 3 in denseness. (Third Comparative Test)

nitride were used to make hot plate units 1. In the state that the semiconductor wafer W1, which was an object to be heated, was put on each of the substrates, the wafer was actually heated. As the semiconductor wafer W1, a commercially available test  
5 wafer wherein temperature sensors (thermocouples) were beforehand embedded in plural positions was used.

In this test, the hot plate 3 was heated to the set temperature (180 °C herein) by sending an electric current into the resistance element 10. In the state that the rate of  
10 temperature increase became about zero, the temperatures at the respective positions were measured with a thermo viewer (IR-162012-0012, made by Nippon Datum.Co) and the difference between the maximum value and the minimum value thereof (the value of the dispersion in the temperature) was calculated.

As a result, the values of the dispersion in the temperature were within 0.2 °C, 0.15 °C, 0.1 °C, and 0.25 °C in the test wafers of the samples 1, 2, 3 and 4, respectively. Namely, it was demonstrated that as the surface roughness Ra of the lower face 3b of the insulating substrate 9 was smaller,  
20 the dispersion in the temperature in the test wafer was smaller. On the other hand, the value of the dispersion in the temperature in the sample 5 was 0.4 °C or less, and was evidently poorer than the results of the samples 1 to 4. Not only on the test wafer side but also on the side of the insulating substrate 9,  
25 multipoint-temperature-measurement was performed, so that substantially the same tendency as the above was recognized. The temperature was raised to 400 °C, so that the values were 7 °C, 6 °C, 4 °C, 7 °C and 10 °C in the samples 1, 2, 3, 4 and 5, respectively.

Accordingly, the following effects can be obtained according to the respective examples of the present embodiments.

(1) In this hot plate 3, the thickness dispersion of the resistance element 10 formed on the insulating substrate 9 made  
35 of aluminum nitride is  $\pm 3 \mu\text{m}$  or less and is smaller than

conventional ones. For this reason, the dispersion in the value of resistivity inside the resistance element 10 is small so that the dispersion in the calorific value inside the resistance element 10 is small. As a result, it is possible to realize the hot plate 3 that can heat the semiconductor wafer W1 uniformly, namely, the hot plate 3 that is superior in the performance of generating uniform heat.

5 (2) When this hot plate 3 for heating a wafer is used, finally a semiconductor chip having a high quality can be effectively produced.

10 (3) In the respective examples of the present embodiment, a surface polishing processing step before a film-depositing step is carried out to set the surface roughness Ra of the lower face 3b of the insulating substrate 9 made of aluminum nitride to 2.0  $\mu\text{m}$  or less. Therefore, the thickness dispersion of the resistance element 10 can be still more reduced. The execution of such processing results in a greater improvement in the performance of generating uniform heat.

15 (4) In this hot plate 3, the substrate 9 made of aluminum nitride is used. Therefore, the influence coming from the dispersion in heat-generating temperature of the resistance element 10 can be cancelled out to some extent by high thermal conductivity of the substrate 9 itself. In other words, the selection of the substrate 9 made of aluminum nitride contributes to a greater improvement in the performance of generating uniform heat.

20 (5) When the resistance element 10 having a three-layer structure made of titanium, molybdenum and nickel is formed in this hot plate 3, the total thickness thereof, and the thickness of layers 15, 16 and 17 are set within the above mentioned ranges. Therefore, the resistance element 10 superior in the performance of generating uniform heat, adhesiveness and so on can be formed without damaging productivity or costs.

25 (6) In the respective Examples of the present embodiment, the resistance element 10 is formed by RF sputtering, which is

one of physical film-depositing methods. For this reason, the thickness dispersion of the obtained resistance element 10 is very small. The resistance element 10 becomes denser as compared with film-depositing methods based on a wet process, such as plating. Thus, inner defects are not easily generated. As a result, the dispersion in the value of resistivity is very small so that the dispersion in the calorific value inside the resistance element 10 becomes very small and the performance of generating uniform heat can be highly improved. The resistance element 10 that is not easily exfoliated can be obtained since the adhesiveness of the resistance element 10 to the insulating substrate 9 becomes very high. In short, if this production process is carried out, the above mentioned excellent hot plate 3 can be easily and surely obtained.

The embodiment of the present invention may be modified as follows.

The resistance element 10 is not limited to the three-layer structure, and may be two-layer structure or a multilayer structure having four or more layers. The resistance element 10 may be made into a monolayer structure. The material of the first sputtered layer 15 in the embodiment may be changed from titanium to chromium.

The process for forming the resistance element 10 is not limited to the above mentioned manner, and may be as follows. A film made of a material for resists is first formed on the whole of the lower face 3b of the aluminum nitride substrate 9 by RF sputtering. Next, openings are made into a pattern at given positions of the film. The openings correspond to positions where the pieces of the resistance element 10 are to be formed. Next, a thin metal layer is formed on the resist by RF sputtering. Thereafter, the resist that has become unnecessary is exfoliated. By this method, the resistance element 10 can be easily and surely formed.

The thin metal layer constituting the resistance element 10 may be formed by a method other than RF sputtering, for example,



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## Claims

1. A hot plate wherein a resistance element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed on an insulating substrate.  
5
2. The hot plate according to claim 1, wherein the thickness dispersion of the resistance element is  $\pm 1 \mu\text{m}$  or less.
- 10 3. The hot plate according to claim 1 or 2, wherein the thickness of said resistance element is from 0.5 to 500  $\mu\text{m}$ .
4. The hot plate according to claim 3, wherein the thickness of said resistance element is from 1 to 10  $\mu\text{m}$ .  
15
5. The hot plate according to any of claims 1 to 4, wherein said insulating substrate is at least one kind selected from a nitride ceramic, a carbide ceramic and a resin.
- 20 6. The hot plate according to any of claims 1 to 5, wherein said resistance element is made of scaly noble metal powder.
7. The hot plate according to any of claims 1 to 6, characterized in that said resistance element has a multilayer structure, and among a plurality of layers constituting said  
25 resistance element, the layer nearest to the substrate is made of titanium or chromium.
8. The hot plate according to any of claims 1 to 7, characterized in that said resistance element is composed of a first layer made of titanium; a second layer made of molybdenum and having a larger thickness than said first layer, on said first layer; and a third layer made of nickel and having an intermediate thickness between the thickness of said first  
30 layer and that of said second layer, on said second layer.  
35

9. The hot plate according to any of claims 1 to 8,  
characterized in that said resistance element is composed of  
a titanium layer having a thickness of 0.1 to 0.5  $\mu\text{m}$ , a molybdenum  
5 layer having a thickness of 0.5 to 7.0  $\mu\text{m}$ , on said titanium layer,  
and a nickel layer having a thickness of 0.4 to 2.5  $\mu\text{m}$ , on said  
molybdenum layer.
10. A process for producing a hot plate wherein a resistance  
10 element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed  
on an insulating substrate,  
characterized by forming said resistance element by a  
film-depositing method based on a dry process.
11. A process for producing a hot plate wherein a resistance  
15 element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed  
on an insulating substrate,  
characterized by forming said resistance element by RF  
sputtering.
- 20 12. A process for producing a hot plate wherein a resistance  
element having a thickness dispersion of  $\pm 3 \mu\text{m}$  or less is formed  
on an insulating substrate,  
characterized by printing a resistance element paste made  
25 of scaly noble metal powder and firing the paste.



Fig. 1

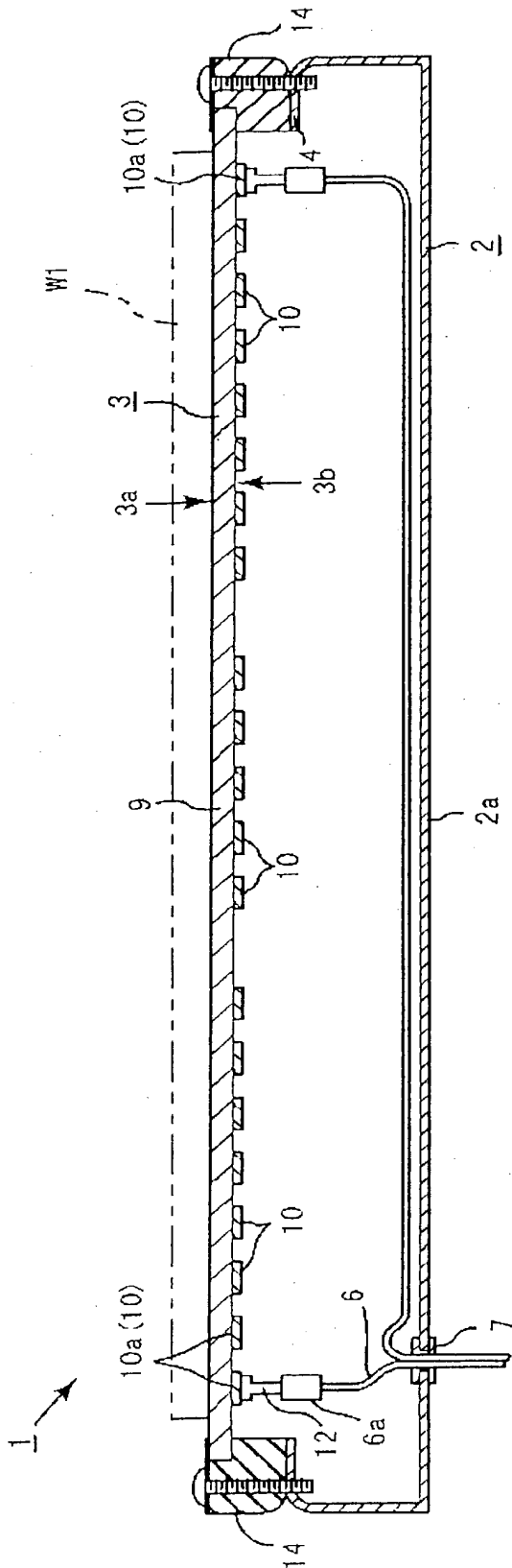


Fig.2

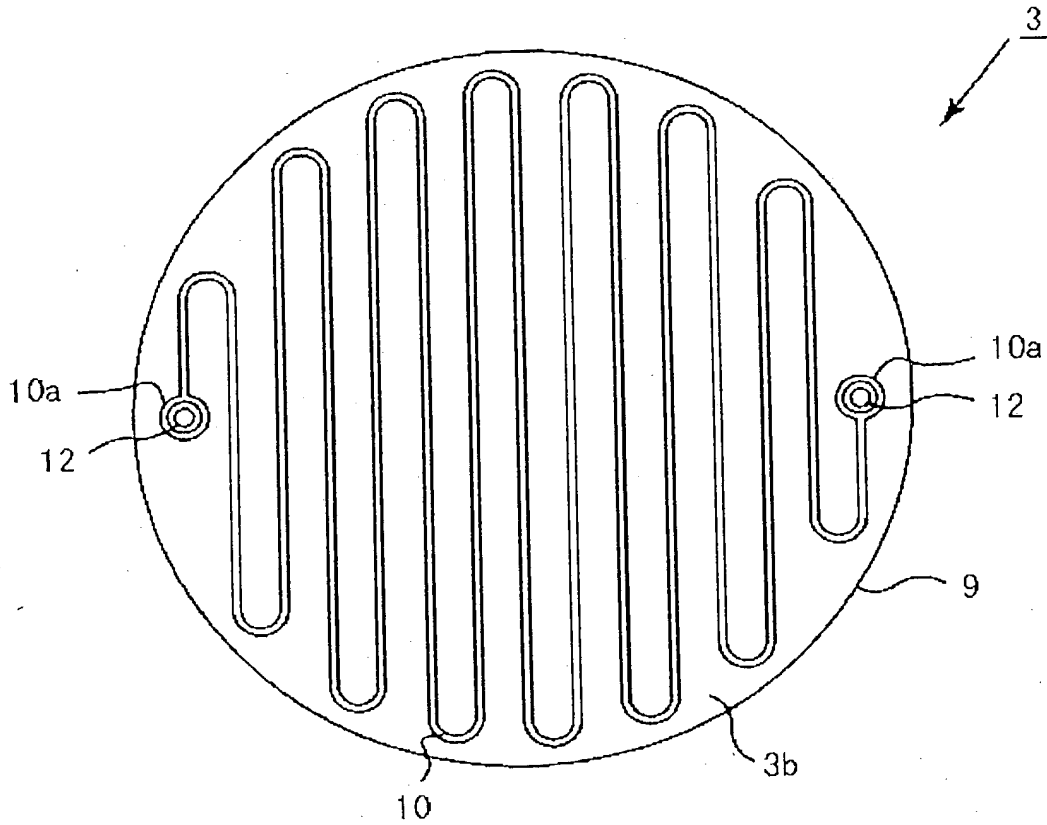


Fig.3

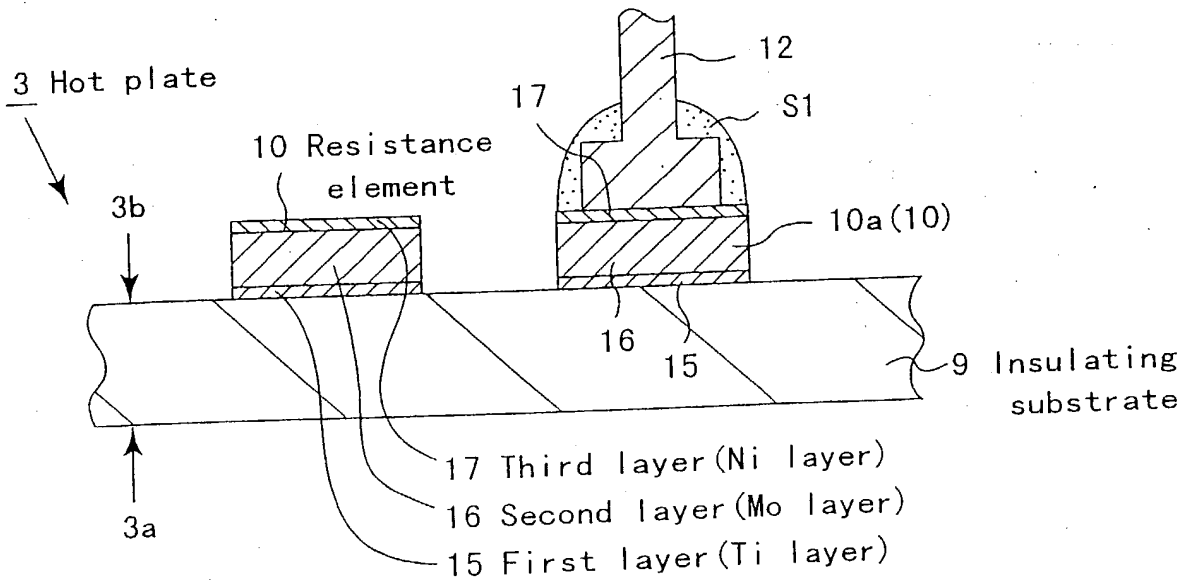
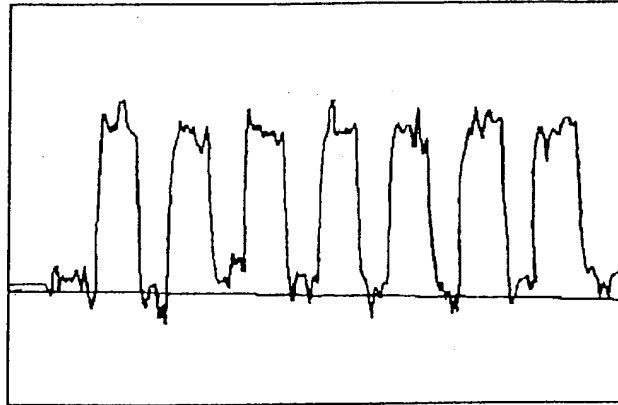


Fig. 4

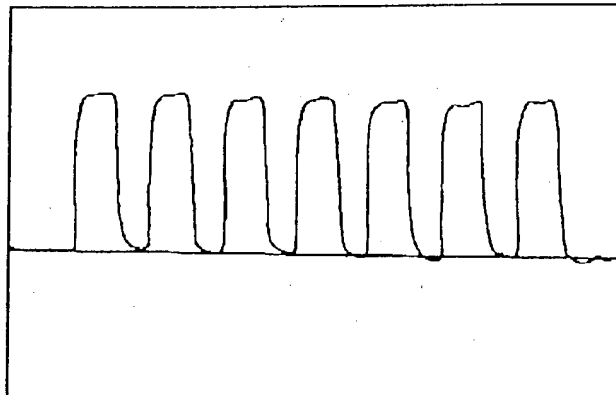
(a)

$Ra=0.5$



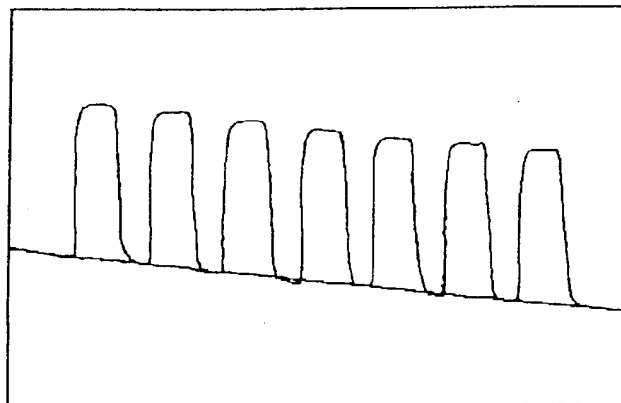
(b)

$Ra=0.1$



(c)

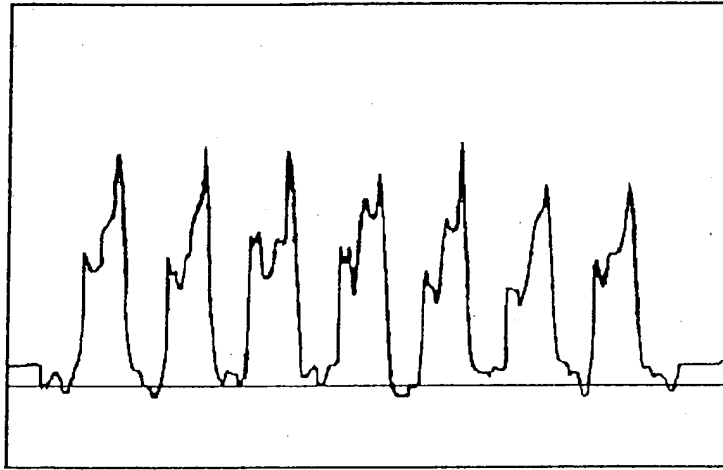
$Ra=0.03$



4 / 4

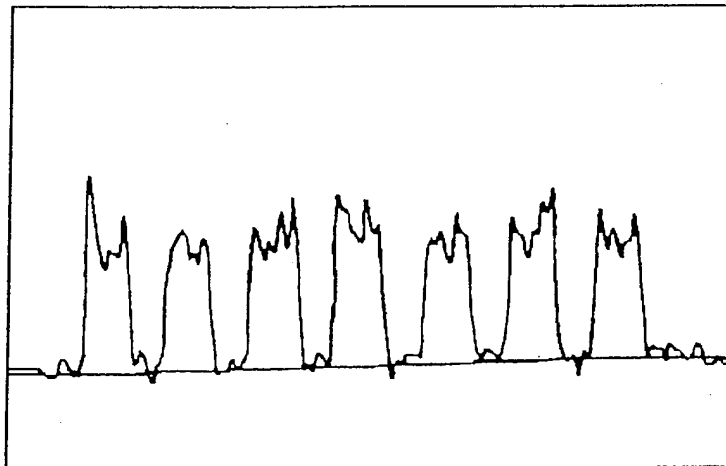
Fig.5

(a)



Perpendicular to the printing direction

(b)



Parallel to the printing direction



215648US0PCT

# Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先、国籍は下記の私の氏名の後に記載された通りです。

My residence, mailing address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

HOT PLATE AND METHOD OF PRODUCING THE SAME  
(AS AMENDED)

上記発明の明細書は、

the specification of which

☐ 本書に添付されています。

☐ is attached hereto.

☐ \_\_\_\_\_ 月 \_\_\_\_\_ 日に提出され、米国出願番号または特

☒ was filed on November 7, 2001

許協定条約国際出願番号を

as United States Application Number or PCT  
International Application Number

\_\_\_\_\_ とし、

09/926,465 and was amended on

(該当する場合) \_\_\_\_\_ に訂正されました。

\_\_\_\_\_ (if applicable)

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

## Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a) - (d)項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)  
外国での先行出願

11-126975	Japan
(Number)	(Country)
(番号)	(国名)

私は、第35編米国法典119条 (e) 項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)	(Filing Date)
(出願番号)	(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条 (c) に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

PCT/JP00/02749	April 27, 2000
(Application No.)	(Filing Date)
(出願番号)	(出願日)

(Application No.)	(Filing Date)
(出願番号)	(出願日)

私は、私自身の知識に基づいて本宣言書で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

委任状：私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。  
(弁護士、または代理人の指名及び登録番号を明記のこと)

I hereby claim foreign priority under Title 35, United States Code, § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed  
優先権主張

7 May 1999	<input checked="" type="checkbox"/> <input type="checkbox"/>
(Day/Month/Year Filed)	Yes No
(出願年月日)	はい いいえ

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application No.)	(Filing Date)
(出願番号)	(出願日)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status: Patented, Pending, Abandoned)
(現況：特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況：特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

# Japanese Language Declaration

(日本語宣言書)



022850

書類送付先

Send Correspondence to:



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